

# Exhibit “B”

**Disclosure AUS8-2001-0577**

Prepared for and/or by an IBM Attorney - IBM Confidential

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Required fields are marked with the asterisk (\*) and must be filled in to complete the form.

**\*Title of disclosure (In English)**

Use of topographies to provide management solutions inline with a prospect's management philosophy

**Summary**

Status	Under Evaluation
Processing Location	AUS
Functional Area	59 - TIVOLI - (Bob Yellin
Attorney/Patent Professional	Leslie Van Leeuwen/Austin/IBM
IDT Team	Sebastian Hassinger/Tivoli Systems; John Sweltzer/Tivoli Systems; Rabindranath Dutta/Tivoli Systems
Submitted Date	04/09/2001 03:05:04 PM EDT
Owning Division	TIV
Incentive Program	
Lab	
Technology Code	
PVT Score	No PVT score has been calculated.To calculate a PVT score, press the 'Calculate' button.

**Inventors with a Blue Pages entry**

Inventors: John Sweltzer/Tivoli Systems, Doug A Wood/Tivoli Systems

Inventor Name	Inventor Serial	Div/Dept	Inventor Phone	Manager Name
> Sweltzer, J.W. (John)	732940	46/TO4A	000-0000	Yellin, Robert
Wood, Douglas A.	904937	46/1X9A	N/A	Sweltzer, J.W. (John)

&gt; denotes primary contact

**Inventors without a Blue Pages entry****IDT Selection****CONFIDENTIAL**

IDT Team: Sebastian Hassinger/Tivoli Systems John Sweltzer/Tivoli Systems Rabindranath Dutta/Tivoli Systems	Attorney/Patent Professional: Leslie Van Leeuwen/Austin/IBM
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Response Due to IP&L : 05/10/2001

**\*Main Idea**

1. Describe your invention, stating the problem solved (if appropriate), and indicating the advantages of using the invention.

A method is provided to break-up any management solution into well-defined components with well-defined boundaries such that the components can be assembled into a topography matching the desired management philosophy.

The definition of "topography" is the art or practice of graphic delineation that shows the relative positioning of important surfaces. In the context of Tivoli's Technical Strategy, the connotation of "topography" is the art or practice of delineating the relative positions of components combined to embody a particular prospect's philosophy.

A philosophy expresses a set of beliefs or predisposition's about the right or desirable way to approach management. Growth can not be sustained by limiting Tivoli's solutions to a single management philosophy or methodology. In the same way that Tivoli cannot limit its language support: If Tivoli limits itself, it is choosing to ignore a part of the market. This requires that the assets be positioned to support a wide range of management philosophies, and to easily accommodate new philosophies as they emerge. Figure 1 shows the interaction between philosophy-based point solutions and the technologies that Tivoli manages. **Topographical components are the system level elements used to implement a philosophy-specific management system.**

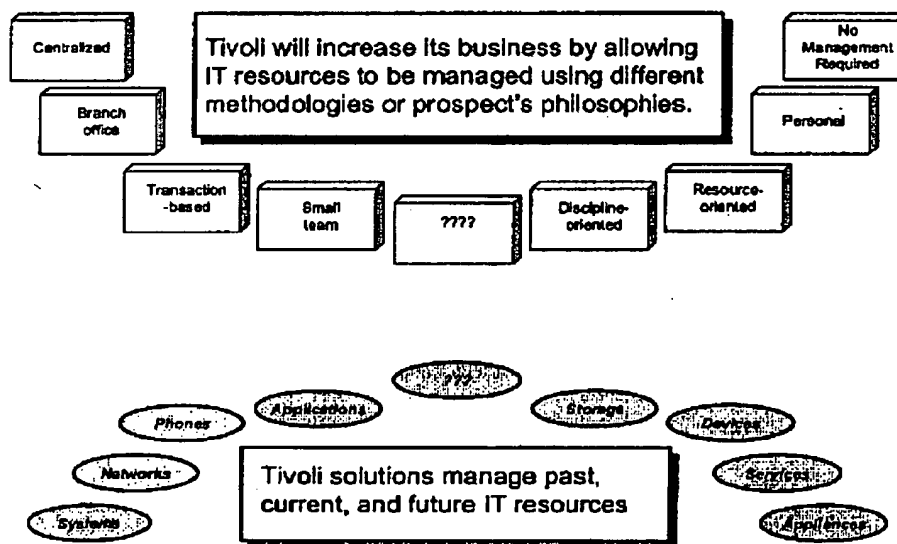


Figure 1

Figure 2 shows how a topography can be constructed to match a customer's philosophy. The left

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topography shows a set of system-level components arranged to have the management function near the user-initiated control. The right topography shows a set of system-level components arranged to have the management function close to the resource being managed.

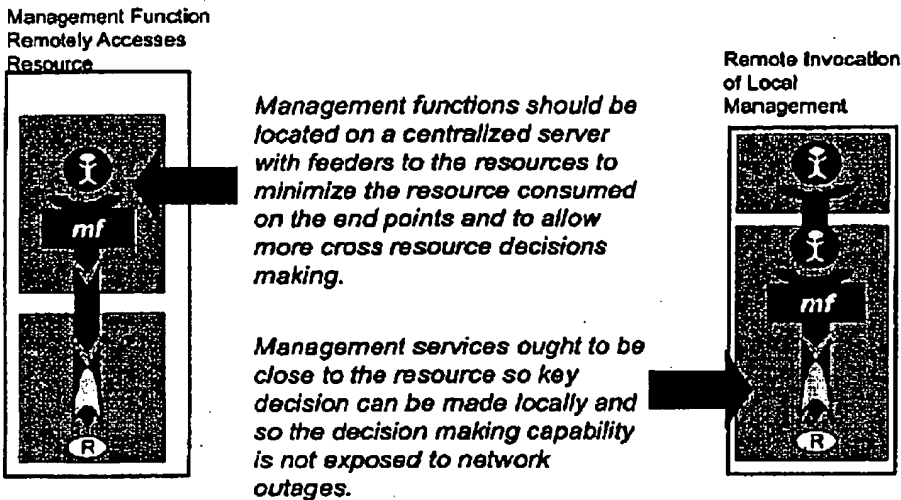


Figure 2

2. How does the invention solve the problem or achieve an advantage, (a description of "the invention", including figures inline as appropriate)?
3. If the same advantage or problem has been identified by others (inside/outside IBM), how have those others solved it and does your solution differ and why is it better?
4. If the invention is implemented in a product or prototype, include technical details, purpose, disclosure details to others and the date of that implementation.

**\*Critical Questions (Questions 1-9 must be answered in English)**

**\*Question 1**

On what date was the invention workable? 06/01/2000 Please format the date as MM/DD/YYYY (Workable means i.e. when you know that your design will solve the problem)

**\*Question 2**

Is there any planned or actual publication or disclosure of your invention to anyone outside IBM?

☐ Yes

☒ No

If yes, Enter the name of each publication or patent and the date published below.

Publication/Patent:

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Date Published or Issued:	
Are you aware of any publications, products or patents that relate to this invention?	<input type="radio"/> Yes <input checked="" type="radio"/> No
If yes, Enter the name of each publication or patent and the date published below.	
Publication/Patent:	
Date Published or Issued: Internal Tivoli documents in notes team room and on the Internal web	

<b>*Question 3</b>		<input type="radio"/> Yes <input checked="" type="radio"/> No
Has the subject matter of the invention or a product incorporating the invention been sold, used internally in manufacturing, announced for sale, or included in a proposal?		
Is a sale, use in manufacturing, product announcement, or proposal planned?		<input type="radio"/> Yes <input checked="" type="radio"/> No
If Yes, identify the product if known and indicate the date or planned date of sale, announcements, or proposal and to whom the sale, announcement or proposal has been or will be made.		
Product: most of Tivoli's products will use topographies starting in 9/01		
Version/Release:		
Code Name:		
Date:		
To Whom:		
If more than one, use cut and paste and append as necessary in the field provided.		

<b>*Question 4</b>		<input type="radio"/> Yes <input checked="" type="radio"/> No
Was the subject matter of your invention or a product incorporating your invention used in public, e.g., outside IBM or in the presence of non-IBMers?		
If yes, give a date. Please format the date as MM/DD/YYYY		

<b>*Question 5</b>		<input type="radio"/> Yes <input checked="" type="radio"/> No
Have you ever discussed your invention with others not employed at IBM?		
If yes, identify individuals and date discussed. Fill in the text area with the following information, the names of the individuals, the employer, date discussed, under CDA, and CDA #.		

<b>*Question 6</b>		<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> Not sure
Was the invention, in any way, started or developed under a government contract or project?		
If Yes, enter the contract number		

<b>*Question 7</b>		<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> Not Sure
Was the invention made in the course of any alliance, joint development or other contract activities?		
If Yes, enter the following:		
Name of Alliance, Contractor or Joint Developer		
Contract ID number		
Relationship contact name		
Relationship contact E-mail		
Relationship contact phone		

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**\*Question 8**

☐ Yes  
☒ No

Have you, or any of the other inventors, submitted this same invention disclosure or similar invention disclosure previously?

If Yes, please provide disclosure number below:

**\*Question 9**

☒ Yes  
☐ No

Are you, or any of the other inventors, aware of any related inventions disclosures submitted by anyone in IBM previously?

If Yes, please provide the docket or disclosure number or any other identifying information below:  
the M12 work is related

**Question 10**

What type of companies do you expect to compete with inventions of this type? *Check all that apply.*

- ☐ Manufacturers of enterprise servers
- ☐ Manufacturers of entry servers
- ☐ Manufacturers of workstations
- ☐ Manufacturers of PC's
- ☐ Non-computer manufacturers
- ☒ Developers of operating systems
- ☒ Developers of networking software
- ☒ Developers of application software
- ☒ Integrated solution providers
- ☒ Service providers
- ☐ Other (Please specify below)

**Question 11**

If the invention relates to a product or service that is outside the scope of your business unit, please recommend IBM business unit(s), IBM location(s) or individual(s) within IBM that you think would provide a good evaluation of your invention:

**Patent Value Tool (Optional - this may be used by the inventor and attorney to assist with the evaluation.)**  
(The Patent Value tool can be used by the inventor(s) to determine the potential licensing value of your invention.)

No PVT score has been calculated. To calculate a PVT score, press the 'Calculate' button.

**Market**

What is the anticipated annual market size (in dollars) that will be captured by your invention?

**CLAIMS**

**Question 1 - How new is the technical field?**

**Question 2 - How central is the invention to the product(s) which might be expected to contain the invention?**

**Question 3 - What is the scope of the claim?**

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**PORTFOLIO NEED**

What are the portfolio needs in the area of your invention?

**EXPLOITATION & ENFORCEMENT**

Question 1 - How easily can the use of the invention by a competitor be detected?

Question 2 - How easily can the use of the invention be avoided by a competitor?

**BUSINESS VALUE**

Question 1 - What percentage of the companies producing products in the field of this invention might use this invention?

Question 2 - What is the value of this patent to current or anticipated Alliance Activity between IBM and other companies?

Question 3 - What is the value of this patent to current or anticipated Technology Transfer Activity between IBM and other companies?

Question 4 - Does it result in prestige to IBM?

**Post Disclosure Text & Drawings**

Enter any additional information relating to this disclosure below:

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(Form Revised 12/17/97)